



Paper # 3

Docket No. M-1226 US

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter (process, machine, manufacture or composition of matter, or an improvement thereof) that is disclosed and/or claimed and for which a patent is solicited by way of the application entitled "DATA RELATIONSHIPS PROCESSOR WITH UNLIMITED EXPANSION CAPABILITY", which (check) ☐ is attached hereto.

☐ and is amended by the Preliminary Amendment attached hereto.

☒ was filed on May 21, 1990

as Application Serial No. 07/526,424

☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including portions amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>NONE</u> (Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as any subject matter of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

<u>NONE</u> (Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Alan H. MacPherson (24,423); Thomas S. MacDonald (17,774); Richard Franklin (19,128); Kenneth E. Leeds (30,566); Walter J. Madgen, Jr., (16,661); Paul J. Winters (25,246); Brian D. Ogonowsky (31,988); Edel M. Young (32,451); David W. Heid (25,875); Gideon Gimlan (31,955); Guy W. Shoup (26,805); Forrest E. Gunnison (32,899); Norman R. Klivans (33,003); David H. Carroll (29,903); Ronald Craig Fish (28,843); Janis J. O Biksa (33,648); Edward C. Kwok (33,938); Elizabeth E. Leitereg (34,101); Patrick T. Bever (33,834); and B. Noël Kivlin (33,929).

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Address all correspondence to Gideon Gimlan

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25 METRO DRIVE SUITE 700
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Karol Doktor

Inventor's signature K. Doktor

Date 27/7/90

Residence Wheeler's Hill, Victoria, Australia

Citizenship Australian

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Wheeler's Hill, Victoria 3150, Australia

Full name of second joint inventor, if any _____

Inventor's signature _____

Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full name of third joint inventor _____

Inventor's signature _____

Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full name of fourth joint inventor _____

Inventor's signature _____

Date _____

Residence _____

Citizenship _____

Post Office Address _____



PATENT\M-1226\O\REQ001.GG

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Applicant: Karol Doktor
3 Assignee: Financial Systems Technology Pty. Ltd.
4 Title: "DATA RELATIONSHIPS PROCESSOR WITH UNLIMITED
EXPANSION CAPABILITY"
5 Serial No.: 07/526,424 Filed: 05/21/90
6 Attorney Docket No.: M-1226 US
7

8
9 San Jose, California
August 2, 1990

10
11 COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

12
13 REQUEST FOR CORRECTION OF INVENTOR'S NAME

14 Sir:

15 The Notice to File Missing Parts mailed from the Patent
16 Office July 9, 1990 lists the Inventor's last name as
17 "Koktor". The correct last name is --Doktor--. Correction
18 is respectfully requested.

19 Respectfully submitted,

20 *Gideon Gimlan*
21 Gideon Gimlan
22 Reg. No. 31,955
Attorney for Applicant

23 I hereby certify that this correspondence is being deposited with the
24 United States Postal Service as first class mail in an envelope addressed
to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on
August 2, 1990.

25 Date: August 2, 1990.

26 *Gideon Gimlan*
Attorney for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Karol Doktor
Assignee: Financial Systems Technology Pty. Ltd.
Title: "DATA RELATIONSHIPS PROCESSOR WITH UNLIMITED
EXPANSION CAPABILITY"
Serial No.: 07/526,424 Date Filed: 05/21/90
Attorney Docket No.: M-1226 US

San Jose, California

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231**SMALL BUSINESS CONCERN DECLARATION BY ASSIGNEE**

Dear Sir:

With respect to the above-identified invention and patent application included herewith for filing in the U.S. Patent and Trademark Office the undersigned officer of the assignee of such application hereby declares that:

Exclusive rights to the above-identified invention have been conveyed to and remain with assignee by virtue of an Assignment dated on or about the date of this verification. I am empowered to act on behalf of said assignee. I verily believe that assignee qualifies as a small business concern as defined in 37 C.F.R. Section 1.9(d), namely, the concern's number of employees, including those of its affiliates, does not exceed 500 persons and the concern has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person has made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

FST Financial Systems
Technology Pty Ltd.
an Australian Corporation
Address: 620 St. Kilda Road,
Suite 111 Melbourne 3004,
AustraliaBy: Title: PRESIDENT